

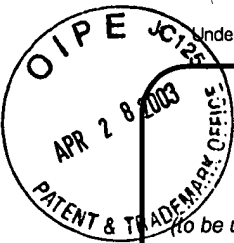
GP # 2874

PTO/SB/21 (03-03)

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TRANSMITTAL FORM

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/083,674	
	Filing Date	October 19, 2001	
	First Named Inventor	Zhou, Yan	
	Art Unit	2874	
	Examiner Name	to be assigned	
Total Number of Pages in This Submission		Attorney Docket Number	021040-000300US

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard Copies of cited references (AK-AW)
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Firm or Individual	Townsend and Townsend and Crew LLP	
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Signature	<i>Gerald T. Gray</i>	
Date	April 21, 2003	

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Typed or printed	Aaron Hokamura		
Signature	<i>Aaron Hokamura</i>	Date	April 21, 2003

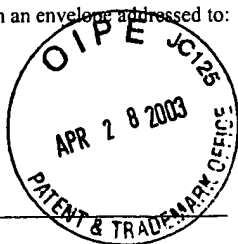
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Attorney Docket No.: 021040-000300US

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On April 21, 2003

TOWNSEND and TOWNSEND and CREW LLP

By: Aaron Hokamura

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yan Zhou, et al.

Application No.: 10/083,674

Filed: October 19, 2001

For: INTEGRATED PLANAR
COMPOSITE COUPLING
STRUCTURES FOR BI-DIRECTIONAL
LIGHT BEAM TRANSFORMATION
BETWEEN A SMALL MODE SIZE
WAVEGUIDE AND A LARGE MODE
SIZE WAVEGUIDE

Examiner:

Art Unit: 2874

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Commissioner for Patents
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Sir:

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called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

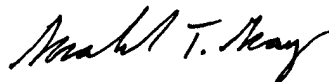
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Gerald T. Gray
Reg. No. 41,797

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WC 9056695 v1



Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Complete if Known

Application Number	10/083,674
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Art Unit	2874
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Attorney Docket Number	021040-000300US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	AK	US-4,639,074	01/27/1987	Murphy	
	AL	US-4,930,854	06/05/1990	Albares et al.	
	AM	US-5,337,398	08/09/1994	Benzoni et al.	
	AN	US-5,552,092	09/03/1996	Francis et al.	
	AO	US-5,703,895	12/30/1997	Ghirardi et al.	
	AP	US-5,792,674	08/11/1998	Kitamura	
	AQ	US-5,881,190	03/09/1999	Harpin et al.	
	AR	US-5,937,124	08/10/1999	Roff	
	AS	US-6,118,915	09/12/2000	Sato	
	AT	US-6,240,233	05/29/2001	Weinert et al.	
	AU	US-6,310,995	10/30/2001	Saini et al.	
	AV	US-6,324,326	11/27/2001	Dejneka et al.	
	AW	US-6,330,378	12/11/2001	Forrest et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner
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